

# Population-based Respiratory Syncytial Virus Hospitalization Disease Burden and Cost Effectiveness of Palivizumab Prophylaxis in Infants with Hemodynamically Significant Congenital Heart Diseases

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#### Abstract

#### Background

Infants with hemodynamically significant congenital heart disease (HsCHD) are at risk of respiratory syncytial virus hospitalization (RSVh) and Palivizumab has been shown to be effective in preventing RSVh in regions with a distinct RSV season. However, the incidence of RSVh in HsCHD patients and the cost-effectiveness of Palivizumab in regions with year-round RSV activity is largely unknown.

**Methods:** All HsCHD patients <12 months of age referred to the only tertiary pediatric cardiology center in Hong Kong during 1 Jan 2014 to 31 Dec 2016 were included in this retrospective study. RSVh rate, Palivizumab efficacy and cost-effectiveness were assessed using a 5 or 6 monthly dose regimen starting from first hospital discharge.

**Results:** Twenty-six RSVh (11%) were identified out of 236 HsCHD patients. Two hundred and twenty-two patients were included for further analysis of Palivizumab efficacy and cost-effectiveness. The number needed to treat to prevent RSVh using 5 and 6 dose regimen was 55.6 and 38.5, respectively, with a wide year-to-year variation from 25 to 166.6. RSVh cost without Palivizumab was \$379,436; while palivizumab program cost for 5 and 6 doses were \$727,481 and \$849,888 respectively. Incremental cost-effective ratio per hospital admission prevented was \$85,937 and \$80,419 for 5 and 6 dose regimen, respectively, with a wide year-to-year variation from \$40,410 to \$277,367.

**Conclusion:**This is one of the first population-based studies in infants with HsCHD demonstrating a high RSVh burden in a region with round the year circulation of RSV. Palivizumab program was assessed to be not cost-effective in preventing RSVh in such a locality.

**Keywords**: Heart failure, Cyanotic heart disease, Pulmonary hypertension

**Introduction:** Respiratory syncytial virus (RSV) infection is the leading cause of respiratory infection in young infants worldwide [1-4]. Infants with underlying medical conditions, including hemodynamically significant congenital heart diseases (HsCHD) are at increased risk of RSV associated hospitalization (RSVh), morbidity and even mortality

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**Copyright:** © 2021 Chen RHS et al. This is an openaccess article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited. [5-11]. Unlike influenza virus, there is yet no effective active immunization against RSV. The only option for RSV prevention is passive immunization with Palivizumab, a humanized monoclonal antibody against the F-glycoprotein of RSV. The efficacy of a 5 consecutive monthly Palivizumab injection regimen during RSV season in the US has been shown to be effective in preventing RSVh in HsCHD, with a 45% relative reduction of RSVh [12]. A similar regimen of palivizumab is recommended for infants with HsCHD immediately before and during the RSV season in their first vear of life by the American Academy of Paediatrics (AAP) [13]. However, in subtropical regions like Hong Kong, the RSV season is often quite prolonged and lacks a discrete peak. Palivizumab is not routinely given to infants with HsCHD in Hong Kong. There is no data on RSVh for infants with HsCHD in Hong Kong and the efficacy and cost effectiveness of a Palivizumab program for HsCHD under such circumstances are largely unknown. Therefore, we aimed to elucidate the burden of RSVh in this group of patients in Hong Kong and using the time of infection to assess the proportion of infants who might have benefited from a palivizumab prophylaxis program. We then assessed the potential cost effectiveness of a population-wide palivizumab program for infants with HsCHD in Hong Kong.

# **Materials and Methods**

The annual live birth cohort of Hong Kong was around 60,000 per year [14]. The Department of Paediatric Cardiology at Queen Mary Hospital is the only tertiary pediatric Cardiac Centre in Hong Kong where 98 % of all infants with HsCHD requiring transcatheter or surgical interventions are referred since the only team of pediatric cardiothoracic surgeons in Hong Kong is based in Queen Mary Hospital. In this retrospective study, all patients born between 1 Jan 2014 and 31 Dec 2016 and referred to our Cardiac Centre were retrieved from a central computerized database, Clinical Data Analysis and Reporting System. Patients with a diagnosis of CHD under International Classification of Diseases, 9th, Revision, Clinical Modification (ICD-9-CM), were selected and their medical record reviewed in detail to identify infants who qualified for inclusion. Due to potential fluctuations in RSV activity in different years, a 3-year study period was used to cover a spectrum of RSV activity intensity. In brief, should there be a low RSV activity intensity, a cost-effective analysis for any preventive measures would bias towards being ineffective and vice versa. The study was approved by the Institutional Review Board of the University of Hong Kong / Hospital Authority Hong Kong West Cluster.

Patients with HsCHD were identified from record review by a pediatric cardiologist (RHSC) and included in the study. CHD was classified as hemodynamically significant based on the definition from the AAP updated policy statement for Palivizumab prophylaxis 2014 [13]. HsCHD was defined as CHD associated with 1. heart failure requiring surgical treatment (our group also included those with heart failure requiring catheter-based interventional treatment), 2. pulmonary hypertension (PHT) and 3. cyanotic heart disease. Exclusion criteria included 1. patients with HsCHD, but at the same time fulfilling other established criteria for receiving palivizumab (e.g. premature infants with bronchopulmonary dysplasia with coexisting haemodynamically significant patent ductus arteriosus); 2. critical congenital heart diseases requiring total surgical / catheter intervention either immediately or soon after birth, never discharged from hospital before total correction, and with no significant residual lesions upon discharge; 3. Patients with less than 6 months of follow up period after HsCHD diagnosis.

Data extracted from study patients included, age of diagnosis of HsCHD, date of first hospital discharge after HsCHD diagnosis, body weight on discharge, date of total corrective operation or intervention, HsCHD diagnosis and indication for palivizumab. RSVh within the first year of life in these patients were identified, from which the annual rate of RSVh calculated. RSVh was defined as any respiratory symptoms leading to hospital admission in any hospital in Hong Kong with a positive PCR test for RSV. Nasopharyngeal aspiration testing for RSV by PCR was routine in Hong Kong during the study period. Days of hospitalization, pediatric intensive care unit (PICU) admission and days of PICU stay, days of ventilatory care, and significant morbidity and mortality related to RSVh were recorded.

# Cost analysis and potential efficacy of palivizumab program

The cost analysis comparing cost of RSVh versus a palivizumab program was performed in the study cohort after excluding patients without a window for palivizumab administration (e.g. patients were never discharged until after surgical total correction). Dollars are expressed in US currency. Cost of RSVh was calculated based on the average daily cost of hospital stay according to data from Hospital Authority, Hong Kong SAR: \$ 660 for paediatric general ward and \$3,130 for PICU [15]. The potential cost of a palivizumab program included the total drug cost plus the RSVh cost that was deemed not preventable by the palivizumab program as described below. Cost calculation was made based on the drug cost of \$935 per 100mg vial of palivizumab with a standard dose of 15mg/kg given at a monthly basis as per recommended by the AAP policy [13]. Since there is no discrete RSV season locally, the calculation was made based on the first dose of palivizumab being administered upon first hospital discharge after which the diagnosis of HsCHD was made as advocated by the Taiwan group [16]. The RSVh identified in this cohort were deemed to be potentially preventable by palivizumab if it fell within the duration of prophylaxis course up to within 4 weeks after the last presumed dose of palivizumab; RSVh falling outside this period would be regarded as not preventable. The relative reduction of episodes of RSVh and days of RSVh by a Palivizumab program was presumed to be 0.45 and 0.56 respectively based on the study from Felts et al. [12]. Hence the hospital admission prevented (HAP) would be calculated as the number of RSVh potentially preventable multiplied by 0.45; while the number of RSVh days preventable would be the number of RSVh days potentially preventable multiplied 0.56. By the same principle, 44% (1-0.56) of the days of RSVh potentially preventable would be regarded as Palivizumab failure and the RSVh cost would be included as part of the cost for the Palivizumab program. The cost for a standard 5 dose regimen and an alternative 6 dose regimen was calculated [16]. Due to the conflicting evidence in the efficacy of palivizumab in cyanotic HsCHD, separate cost calculations were made to include only the heart failure and the PHT group. Since there were significant variations in the logistics of administration in different regional hospitals, the administrative cost was not included in the calculation. Cost effectiveness was further analysed using the incremental cost effective ratio (ICER), which was the difference between the cost of the Palivizumab program as stated above and the RSVh cost without a palivizumab program. The ICER would be expressed as ICER per HAP (ICER/HAP), and ICER per days of RSVh prevented.

The potential efficacy of a palivizumab program was assessed by calculating the number needed to treat (NNT) to prevent one episode of RSVh; taking into account the reported relative reduction of RSVh in palivizumab recipient being 0.45 [12].

#### Results

The RSV activity intensity during the study period from the Government Public Health Laboratory Centre showed a higher activity in the year 2016 (Figure 1) [17]. There were a total of 991 patients with CHD during the study period. After excluding 157 critical CHD and 598 patients with mild CHD, 236 patients fulfilled the inclusion criteria for HsCHD. Twenty-six episodes (11%) of RSVh were documented within the first year of life.

Fourteen out of 236 patients were excluded from the cost analysis since they were never discharged before total surgical or interventional correction of their HsCHD and did not satisfy indication for Palivizumab prophylaxis. Two hundred and twenty-two patients (160 with heart failure, 4 with pulmonary hypertension and 58 with cyanotic heart disease.) were identified to be potential candidates for

palivizumab prophylaxis. (Figure 2) Amongst this group, there were 20 RSVh (9%): 13 (65%) with heart failure, 6 (30%) with cyanotic heart disease and only 1 (5%) had PHT. Table 1 showed the details of all RSVh including the month of hospital admissions, which did not show any obvious seasonality. The median age at RSVh was 6 months (IQR 2 months, range from 2.4 – 11 months) and the duration of RSVh was 8 days (IQR 19.5 days, range from 1 to 42 days). There was no death. Only 4 patients (25%) required PICU admission and only one required invasive mechanical ventilation. This was a 5-month-old patient with heart failure associated with VSD awaiting surgery who was initially admitted for parainfluenza III chest infection followed by nosocomial RSV infection leading to respiratory failure.

Amongst the group with RSVh, 9/20 and 13/20 RSVh were potentially preventable by a 5-dose and a 6-dose palivizumab regimen respectively. Year to year variation in RSVh per 10,000 HsCHD patients, hospitalization days, cost and NNT of the 2 palivizumab regimens are shown in table 2. Taking into account of the efficacy of palivizumab, the number needed to treat (NNT) to prevent one RSVh with a 5-dose regimen would be 55.6 (95% CI 66.6-74.6), and that for a 6-dose regime would be 38.5 (95% CI 33.3-43.4). A palivizumab program costed significantly more that the hospitalization cost without palivizumab prophylaxis in all three years of the study.

When cyanotic heart disease was excluded from the calculation, 8 and 11 RSVh were potentially preventable by a 5-dose and 6-dose Palivizumab regimen, respectively, in 14 infants. Year to year variation in RSVh per 10,000 HsCHD patients, hospitalization days, cost and NNT of the 2 palivizumab regimens are shown in table 3. The overall NNT to prevent one RSVh with a 5-dose regimen would be 45.5 and that for a 6-dose regimen would be 33.1. Even when cyanotic heart disease was excluded, a palivizumab program still costed significantly more that the hospitalization cost without palivizumab prophylaxis in all three years of the







Figure 2: Identification of patients with HsCHD eligible for Palivizumab during the study period.

CHD: congenital heart disease, HF: heart failure, HsCHD: hemodynamically significant congenital heart disease, PAH: pulmonary arterial hypertension. \*Critical CHD requiring immediate or early operation before 1st discharge

| Date of<br>Birth (yyyy-<br>mm-dd) | Principal<br>CHD<br>diagnosis | Indication for<br>palivizumab | Age at<br>RSVh<br>(months) | Month of<br>RSVh | RSVh<br>potentially<br>preventable (5<br>doses)* | RSVh<br>potentially<br>preventable (6<br>doses)* | Length of<br>RSVh | Duration of<br>PICU stay<br>(days) | Duration<br>of CPAP /<br>Invasive<br>ventilations<br>(days) | Remark  |
|-----------------------------------|-------------------------------|-------------------------------|----------------------------|------------------|--|--|-------------------|------------------------------------|---|---|
| 2014-02-11                        | TOF                           | Cyano                         | 8                          | Oct              | no   | no   | 10                |                                    |   |   |
| 2014-05-04                        | PDA                           | HF                            | 5                          | Sept             | yes  | yes  | 23                |                                    |   | nosocomial<br>norovirus<br>infection<br>lengthening the<br>stay                               |
| 2014-12-18                        | VSD                           | РНТ                           | 11                         | Nov              | no   | no   | 9                 |                                    |   | Also<br>parainfluenza<br>II +ve   |
| 2015-01-01                        | TOF                           | Cyano                         | 6                          | Jul              | no   | yes  | 2                 |                                    |   |   |
| 2015-02-12                        | VSD                           | HF                            | 11                         | Feb              | no   | no   | 8                 |                                    |   |   |
| 2015-02-23                        | VSD                           | HF                            | 2.8                        | May              | yes  | yes  | 44                |                                    |   | Prolonged<br>hospitalization<br>due to tube<br>feeding  |
| 2015-03-18                        | VSD                           | HF                            | 5                          | Sept             | yes  | yes  | 2                 |                                    |   |   |
| 2015-06-08                        | DORV<br>subaortic<br>VSD, CoA | HF                            | 2.4                        | Aug              | yes  | yes  | 7                 |                                    |   |   |
| 2015-09-03                        | VSD                           | HF                            | 5                          | Feb              | yes  | yes  | 42                | 32                                 | 4 / 8   | Admitted for<br>parainfluenza<br>III infection,<br>followed by<br>nosocomial RSV<br>infection |
| 2015-09-09                        | TAPVD                         | HF                            | 7                          | May              | yes  | yes  | 11                |                                    |   |   |
| 2015-12-11                        | Shone<br>complex              | HF                            | 6                          | June             | no   | yes  | 2                 |                                    |   |   |
| 2015-12-18                        | TOF                           | Cyano                         | 7                          | Aug              | no   | no   | 2                 |                                    |   |   |

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| 2016-01-06 | TOF                          | Cyano | 6   | Jul  | no  | yes | 6  |    |       |                                   |
|------------|------------------------------|-------|-----|------|-----|-----|----|----|-------|-----------------------------------|
| 2016-03-12 | AVSD                         | HF    | 6   | Sept | yes | yes | 19 | 14 |       |                                   |
| 2016-05-18 | VSD                          | HF    | 6.5 | June | no  | no  | 28 | 5  | 3 / 0 |                                   |
| 2016-07-12 | complex<br>cyanotic<br>heart | Cyano | 4   | Dec  | yes | yes | 8  |    |       |                                   |
| 2016-07-22 | ASD                          | HF    | 7   | Mar  | no  | yes | 37 | 31 | 6 / 0 | persistent HF<br>post operatively |
| 2016-09-02 | Complex<br>cyanotic<br>heart | Cyano | 11  | May  | no  | no  | 4  |    |       |                                   |
| 2016-12-14 | VSD                          | HF    | 5   | Jan  | yes | yes | 5  |    |       | persistent HF<br>post operatively |
| 2016-07-08 | VSD                          | HF    | 7   | Feb  | no  | no  | 1  |    |       |                                   |

ASD: atrial septal defect; AVSD: atrioventricular septal defect; CHD: congenital heart disease; CPAP: continuous positive airway pressure; CoA: coarctation of the aorta; cyano: cyanotic congenital heart disease, DORV: double outlet right ventricle; HF: heart failure; PDA: patent ductus arteriosus; PICU: pediatric intensive care unit; PHT: pulmonary hypertension; RSVh: respiratory syncytial virus associated hospitalization; TAPVD: total anomalous pulmonary venous drainage; TOF: tetralogy of Fallot; VSD: ventricular septal defect

\*Respiratory syncytial virus associated hospitalization potentially preventable by palivizumab using 5 or 6 consecutive monthly dose (refer to text for detail description)

 Table 1: Details of all hospitalization associated with respiratory syncytial virus infection.

| Year  | No. of HSCHD | No. of RSVh<br>[RSVh per 10,000<br>HsCHD patients] | Days of RSVh | NNT to prevent 1<br>RSVh<br>for 5 dose / 6<br>dose palivizumab<br>regimen<br>(95% confidence<br>interval) | Cost of RSVh (\$) | Cost of Palivizumab<br>5 dose regimen (\$) | Cost of Palivizumab<br>6 dose regimen (\$) |
|-------|--------------|--|--------------|---|-------------------|--|--|
| 2014  | 75           | 3 [400.0]  | 42           | 166.6 (100-500)<br>166.6 (100-500)  | 27,462            | 180,014                                    | 242,720                                    |
| 2015  | 79           | 9 [1139.2]   | 120          | 35 (28.6 - 45.5)<br>27.8 (23.8 - 33.3)  | 157,641           | 248,565                                    | 301,454                                    |
| 2016  | 68           | 8 [1176.5]   | 108          | 50 (37.0 - 76.9)<br>30.3 (25.0 - 37.0)  | 194,333           | 298,901                                    | 305,714                                    |
| Total | 222          | 20 [900.9]   | 270          | 55.6 (66.6-74.6)<br>38.5 (33.3-43.4)  | 379,436           | 727,480                                    | 849,888                                    |

HsCHD, haemodynamically significant congenital heart disease; NNT, number needed to treat; RSVh, respiratory syncytial virus associated hospitalization

Table 2: The number of hospitalization, hospitalization rate, cumulative days of hospitalization and the number needed to treat to prevent hospitalization and cost associated with respiratory syncytial virus by the year.

| Year  | No. of HSCHD | No. of RSVh<br>[RSVh per 10,000<br>HsCHD patients] | Days of RSVh | NNT to prevent 1<br>RSVh<br>for 5 dose / 6<br>dose palivizumab<br>regimen | Cost of RSVh (\$) | Cost of Palivizumab<br>5 dose regimen (\$) | Cost of Palivizumab<br>6 dose regimen (\$) |
|-------|--------------|--|--------------|---|-------------------|--|--|
| 2014  | 56           | 2 [357.1]  | 32           | 100 / 100   | 20,923            | 134,168                                    | 170,668                                    |
| 2015  | 64           | 7 [1,093.8]  | 116          | 28.6/23.8   | 155,026           | 212,257                                    | 248,025                                    |
| 2016  | 45           | 5 [1111.1]   | 90           | 52.6 / 34.5   | 182,564           | 245,160                                    | 225,157                                    |
| Total | 166          | 14 [843.4]   | 239          | 45.5 / 33.1   | 358,513           | 591,585                                    | 643,850                                    |

HsCHD, haemodynamically significant congenital heart disease; NNT, number needed to treat; RSVh, respiratory syncytial virus associated hospitalization

Table 3: The number of hospitalization, hospitalization rate, cumulative days of hospitalization and the number needed to treat to prevent hospitalization and cost associated with respiratory syncytial virus by the year, excluding cyanotic heart diseases.

study. The ICER/HAP were \$85,937 and \$80,419 for 5 and 6 dose Palivizumab regimen respectively; whereas the ICER/RSVh days prevented was \$2,301 and \$4,038 for 5 and 6 dose Palivizumab regimen, respectively. The ICER/HAP by the year are shown in table 4.

# Discussion

We documented very high hospitalization incidence in infants with HsCHD in Hong Kong with prolonged RSV circulation. This hospitalization incidence was higher than that previously documented in Hong Kong infants < 6 months of age who did not have congenital heart disease [1]. The incidence we reported is at least comparable if not higher than that previously reported in patients with HsCHD [6,9,10,18]. We also documented that infants with HsCHD in Hong Kong were hospitalized for RSV infection in nearly every month of the year.

Palivizumab has been demonstrated to be a reasonably effective immunoprophylaxis in preventing RSVh in patients with HsCHD in North American and European countries where seasonal clustering of RSV is often evident [12,19-21]. This had resulted in the recommendation from the AAP on a 5 consecutive dose of palivizumab immunoprophylaxis during RSV seasons for patients with HsCHD below 1 year of age [13]. However, such regimen has not been uniformly successful, with persistence of significant RSVh risk being reported despite Palivizumab prophylaxis for patients with HsCHD in countries with a distinct RSV season [22]. The applicability of the AAP recommendation is even more questionable in subtropical and tropical areas that often lack a defined RSV season [23-25]. Limited studies have tried to elucidate the efficacy of alternative Palivizumab regimens in such countries. Chiu et al from Taiwan reported the use of a novel palivizumab prophylaxis protocol, involving 6 monthly injections commencing right after diagnosis of HsCHD has been made, and concluded that to be effective in preventing RSVh [16]. However, the study was limited by its low baseline RSVh rate of 4.8% only from a historical cohort as compared with 11% of our cohort which is more in line with other published data [6,12,26].

Our 3-year study with a range RSV circulation activity provided a better estimation of the effectiveness of palivizumab prophylaxis in areas without a sharp RSV season. The NNT to prevent 1 RSVh could vary from 35 to as high as 166 in a three-year period, with a very high NNT in 2014 when the RSV activity was the lowest with RSVh rate of 4% only. This year-to-year variation of incidence has actually been well reported in subtropical and tropical areas, and could be related to various meteorological factors [27-30]. The overall high NNT and high cost in preventing RSVh with palivizumab could be explained by the year round circulation of RSV activity resulting in a significant proportion of RSVh occurring outside the prophylaxis period.

The high cost of palivizumab is yet another important matter of concern. Rigorous effort has been instigated in addressing the cost-effectiveness of its use in preventing RSVh in patients with HsCHD [26,31-40]. Unfortunately, only a few studies were focused on this group of patients alone in areas with a distinct RSV season, and none of which were population-based [26,31,33,40,41]. These studies were mainly based on either hypothetical patient cohorts or decision-analysis models using ICER/Quality Adjusted Life year (QALY) to assess cost-effectiveness with an exceedingly wide range reported from US\$13,300 to US\$114,337/ QALY [33,40,41]. Despite a very favorable ICER/QALY was reported by Nuijten et al, a scenario analysis excluding mortality from the model was reported to raise the ICER/ QALY significantly from US\$13,300 to US\$177,320 [41]. Judging from the fact that the RSV related mortality in this group of patients reported from the REGAL series covers a wide range from 0 to 3.3%, we think using ICER/QALY in hypothetical model may result in significant inaccuracy. Since there was no reported RSV related mortality in our study cohort, we preferred to assess the cost-effectiveness with ICER/HAP, which was probably more appropriate for medical systems with low to minimal RSV related mortality. Furthermore, since the protection against RSVh rendered by palivizumab was not 100% as reported by Feltes et al [12], unlike many of the aforementioned studies, we have also included in our calculation the cost of RSVh as a result of palivizumab failure. From our results, not only was the cost of US\$85,937/HAP (based on 5-dose regimen) much higher than that reported by Rackhman et al [26], our data also pointed out an important effect of the year-to-year variation in RSV activity on cost-effectiveness with ICER/ HAP showing an almost 7-fold differences comparing years with lowest and highest RSV activity with a similar number of infants with newly diagnosed HsCHD each year in the population. Judging from our data, albeit a lack of consensus for an acceptable threshold for ICER/HAP, a Palivizumab program is not cost effective in preventing RSVh in patients with HsCHD. On the other hand, other strategies may prove to be more cost effective in reducing RSVh. In our cohort, the mean waiting time for HsCHD patient with heart failure to undergo surgical intervention was 4.3 months. A reduction in this waiting time, and hence the cumulative time at risk of RSVh, can potentially reduce the burden of RSVh. Development of RSV vaccine is certainly another option and

| Year        | ICER/HAP<br>(5 dose)<br>US\$ | ICER/HAP<br>(6 dose)<br>USS | ICER/day of RSVh prevented<br>(5 dose)<br>US\$ | ICER/day of RSVh prevented<br>(6 dose)<br>USS |
|-------------|------------------------------|-----------------------------|--|---|
| 2014 (n=75) | 277,367                      | 277,367                     | 11,825   | 11,825  |
| 2015 (n=79) | 40,411                       | 45,655                      | 1,530  | 2,335   |
| 2016 (n=68) | 77,382                       | 49,457                      | 5836   | 2650  |

ICER: incremental cost-effective ratio, HAP: hospital admission prevented, RSVh: hospitalization associated with respiratory syncytial virus infection **Table 4:** Cost effectiveness analysis by incremental cost-effective ratio by the year. numerous vaccine candidates are currently in the pipeline [42]. Once licensed, such vaccines may replace Palivizumab in preventing RSVh in high risk patients including those with HsCHD.

Our study had several limitations. Firstly, the assessment of efficacy and cost-effectiveness of Palivizumab was based on assumptions of a relative risk reduction in RSVh from published data in regions with distinct RSV seasons rather than from local prospective data with round the year RSV activity. To compensate for the difference in RSV activity, we have factored into the time of infection and considered those infected outside the hypothetical program as prophylaxis failure. Secondly, tangible cost like administrative cost of Palivizumab and non-tangible costs like carers' absence from work were not included in the calculation. However, by only considering drug cost, our data reflected the minimal cost of giving Palivizumab. Finally, since the patient cohort was identified from a database, we might have inadvertently omitted patients who might qualify for Palivizumab if recruited prospectively. An example being patients presented with heart failure and an initial intent for surgery, but in the end did not require surgical intervention. In a prospective study, these patients would be eligible for Palivizumab injection. For that matter, a post-hoc analysis was conducted for all patients classified as mild CHD with RSVh. Amongst this group there were only 2 RSVh and both of which happened beyond 6 months after the diagnosis of CHD was made, making them unpreventable by Palivizumab even if given. Hence including these patients with "borderline" indication would only worsening the ICER/HAP making it even less cost-effective.

Strengths of this study included the data obtained were robust. Firstly, we are the only major pediatric cardiology center that served nearly all pediatric patients with congenital heart disease in Hong Kong. In addition, RSV testing was routine in children with acute respiratory infections and was PCR-based across all hospitals in Hong Kong. Moreover, we have also elected to obtain data over the span of 3 years with wide variation of RSV circulation burden enabling us to assess scenario with a range of RSV activity levels. Lastly, each HsCHD case was intensively reviewed by a pediatric cardiologist, not only relying on diagnostic codes from the database, ensuring correct classification of the patients' cardiac status.

#### Conclusion

The RSVh burden in patients with HsCHD in Hong Kong, a subtropical region, was demonstrated to be at least similarly high if not higher as compared with countries with temperate climate. However, a Palivizumab program in this region where there is round the year circulation of RSV was assessed to be not cost-effective in preventing RSVh. To our knowledge this is one of the first population-based costeffectiveness studies of a palivizumab prophylaxis program in infants with HsCHD in a subtropical region where there may be year-round RSV circulation.

## **Declaration page**

#### Funding

Not applicable.

#### **Competing interest**

The authors declare that they have no competing interest

#### Availability of data and Material

The datasets during and/or analysed during the current study available from the corresponding author on reasonable request

#### **Ethics** approval

This retrospective chart review study involving human participants was in accordance with the ethical standards of the institutional and national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards. The study was approved by the Institutional Review Board of the University of Hong Kong / Hospital Authority Hong Kong West Cluster, Hong Kong SAR.

#### **Contributor's statement**

RHC conceptualized and designed the study, designed the data collection instrument, collected the data, carried out the initial analysis, drafted the initial manuscript, reviewed and revised the manuscript.

SSC conceptualized and designed the study, drafted the initial manuscript, reviewed and revised the manuscript.

SL and TY conceptualized and designed the study, critically reviewed and revised the manuscript for important intellectual content.

All authors approved the final manuscript as submitted and agreed to be accountable for all aspects of the work.

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